

1/26/2007



Docket No.: W1878.0206
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Wataru Hattori

Application No.: 10/528,605

Confirmation No.: n/a

Filed: March 21, 2005

Art Unit: 2617

For: FILTER AND COMPOSITE FILTER, FILTER ASSEMBLY, INTEGRATED CIRCUIT CHIP AND ELECTRONIC DEVICE WHICH CONTAIN SAME, AND FREQUENCY CHARACTERISTIC CHANGING METHOD THEREFOR

Examiner: Not Yet Assigned

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in

Missing Assignment information. Please correct Assignment information to reflect executed Assignment as submitted on 03/21/2005;

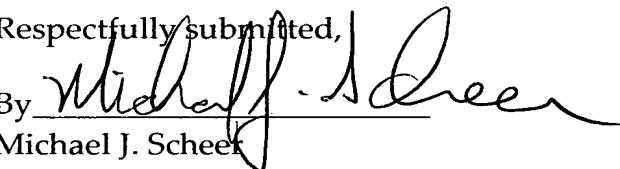
NEC Corporation

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

No fee is require, however, The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2215, under Order No. W1878.0206.

Dated: February 15, 2007

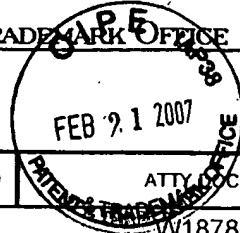
Respectfully submitted,

By 
Michael J. Scheer

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Attorney for Applicant



UNITED STATES PATENT AND TRADEMARK OFFICE



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY/DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,605	03/21/2005	2617	1000	W1878.0206	18	22	2

RECEIVED

CONFIRMATION NO. 3996

32172

DICKSTEIN SHAPIRO LLP
1177 AVENUE OF THE AMERICAS (6TH AVENUE)
NEW YORK, NY 10036-2714

FILING RECEIPT



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FEB 21 2007

Date Mailed: 01/11/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wataru Hattori, Tokyo, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 32172.

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11837 09/17/2003

Foreign Applications

JAPAN 2002-272564 09/19/2002

If Required, Foreign Filing License Granted: 01/03/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/528,605**

Projected Publication Date: 04/12/2007

Non-Publication Request: No

DSMO FILE NO. W1878.0206

DUE: _____

C/L: _____

ENTERED BY: af. sATTY: MJS / form

Title

Filter and composite filter, filter assembly, integrated circuit chip and electronic device which contain same, and frequency characteristic changing method therefor

Preliminary Class

455

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

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For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

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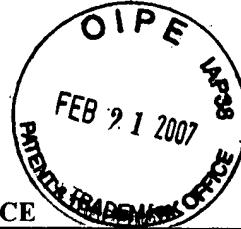
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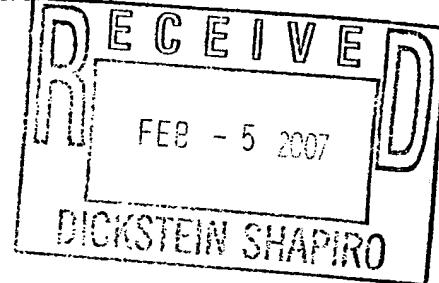
UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

JANUARY 30, 2007

PTAS

MICHAEL J. SCHEER
DICKSTEIN SHAPIRO MORIN & OSHINSKY LLP
1177 AVENUE OF THE AMERICAS
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NEW YORK, NY 10036-2714



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RECORDATION DATE: 03/21/2005

REEL/FRAME: 018030/0659
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).
DOCKET NUMBER: W1878.0206

ASSIGNOR:

HATTORI, WATARU

DOC DATE: 03/14/2005

ASSIGNEE:

NEC CORPORATION
7-1, SHIBA 5-CHOME, MINATO-KU
TOKYO, JAPAN

SERIAL NUMBER: 10528605

FILING DATE: 03/21/2005

PATENT NUMBER:

ISSUE DATE:

TITLE: FILTER AND COMPOSITE FILTER, FILTER ASSEMBLY, INTEGRATED CIRCUIT CHIP AND ELECTRONIC DEVICE WHICH CONTAIN SAME, AND FREQUENCY CHARACTERISTIC CHANGING METHOD THEREFOR

DSMO FILE NO. W1878.0206

DUE: _____

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ENTERED BY: A. J. _____

ATTY: MSS/for malities _____

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ASSIGNMENT SERVICES BRANCH
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103271564



10/528605
81.210 JC05 Rec'd PCT/PTO 21 MAR 2005

U.S. DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

INVENTION FORM COVER SHEET

PATENTS ONLY

To the Director of the U.S. Patent and Trademark Office: Please record the attached documents or the new address(es) below.

1. Name of conveying party(es)/Execution Date(s):
Wataru Hattori

Execution Date(s): March 14, 2005

Additional name(s) of conveying party(es) attached? Yes No

3. Nature of Conveyance:

Assignment

Merger

Security Agreement

Change of Name

Government Interest Assignment

Executive Order 9424, Confirmatory License

Other _____

4. Application or patent number(s):

A. Patent Application No.(s)

This application

This document is being filed together with a new application.

B. Patent No.(s)

10/528605

Yes No

5. Name and address to whom correspondence concerning document should be mailed:

Name: Michael J. Scheer
DICKSTEIN SHAPIRO MORIN & OSHINSKY
LLP

Internal Address: Atty. Dkt: W1878.0206
Street Address: 1177 Avenue of the Americas
41st Floor

03/28/2005 MAILPREM 00000079 10528605

05 FC:0021

40.00 00

Additional numbers attached?

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 1.21(h) & 3.41) \$ 40.00

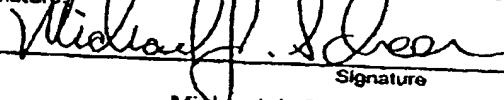
Authorized to be charged by credit card
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8. Payment Information

a. Credit Card Last 4 Numbers 1002
Expiration Date 02/28/06

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March 21, 2005

Date

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